COMMUNICATIONS ALLIANCE LTD



PUBLIC COMMENT DRAFT
DRAFT AUSTRALIAN STANDARD
DR AS/CA S042.4:2025

Requirements for connection to an air interface of a Telecommunications Network—
Part 4: IMT-2000 and IMT-Advanced Customer Equipment

Issued: 4 March 2025

Comments close: 6 May 2025



Draft Australian Standard – Requirements for connection to an air interface of a Telecommunications Network— Part 4: IMT-2000 and IMT-Advanced Customer Equipment

This Standard was issued in draft form for public comment as DR AS/CA S042.4:2025

First published as AS/CA S042.4:2010 Second edition as AS/CA S042.4:2015, published on 26 February 2015 Third edition as AS/CA S042.4:2018, published on 12 April 2018 Fourth edition as AS/CA S042.4:2022, published on 5 December 2022

Communications Alliance Ltd (formerly Australian Communications Industry Forum Ltd) was formed in 2006 to provide a unified voice for the Australian communications industry and to lead it into the next generation of converging networks, technologies and services.

Disclaimers

- 1. Notwithstanding anything contained in this Standard:
 - (a) Communications Alliance disclaims responsibility (including where Communications Alliance or any of its officers, employees, agents or contractors has been negligent) for any direct or indirect loss, damage, claim, or liability any person may incur as a result of any:
 - (i) reliance on or compliance with this Standard;
 - (ii) inaccuracy or inappropriateness of this Standard; or
 - (iii) inconsistency of this Standard with any law; and
 - (b) Communications Alliance disclaims responsibility (including where Communications Alliance or any of its officers, employees, agents or contractors has been negligent) for ensuring compliance by any person with this Industry.
- 2. The above disclaimers will not apply to the extent they are inconsistent with any relevant legislation.

Copyright

© Communications Alliance Ltd 2025

This document is copyright and must not be used except as permitted below or under the Copyright Act 1968. You may reproduce and publish this document in whole or in part for your or your organisation's own personal or internal compliance, educational or non-commercial purposes. You must not alter or amend this document in any way. You must not reproduce or publish this document for commercial gain without the prior written consent of Communications Alliance. Organisations wishing to reproduce or publish this document for commercial gain (i.e. for distribution to subscribers to an information service) should apply to Communications Alliance by contacting the Communications Alliance Commercial Manager at info@commsalliance.com.au.

Guidance for public comment on DR AS/CA S042.4:2025

This draft Standard is the outcome of the revision of AS/CA S042.4:2022 undertaken by the Communications Alliance WC107: **PMTS and Satellite Service Customer Equipment Standards** Working Committee. The two-month public comment phase is a part of the requirements of Communications Alliance Operating Procedures for the development or revision of an AS/CA Standard.

The reader is invited to comment on the requirements for customer equipment scoped within this Standard and on the following proposed recommendations. All submissions received will be made publicly available on the Communications Alliance website unless the submitter requests otherwise.

Please return comments by 6 May 2025 to:

Mike Johns

Project Manager, Communications Alliance

Mail: PO Box 444, Milsons Point NSW 1565

Fax: 02 9954 6136 Email: m.johns@commsalliance.com.au

This draft is available from www.commsalliance.com.au for download.

Background

The AS/CA S042 Requirements for connection to an air interface of a Telecommunications Network Standard specifies the general requirements and test methods for CE for use in connection with a PMTS or a Satellite Service in order to meet the regulatory arrangements for such equipment in Australia.

Changes to Part 1, 4 and 5

The primary objective of this revision is to update the Emergency Call Services (ECS) requirements and introduce new requirements for Emergency Cell Broadcast (ECB) for the National Messaging System (NMS), and to review the requirements for satellite services.

This edition of the Standard introduces new requirements for CE to support the NMS, which utilises Cell Broadcast (CB) technology to distribute targeted Emergency Warning Messages to compatible mobile phones and other devices in near real time. The requirements are based on ETSI Standards used for the European EU-Alert Public Warning System and follow a similar approach as in other jurisdictions, such as the US CMAS (Commercial Mobile Alert System) (also known as Wireless Emergency Alerts (WEA)) and the Japanese Earthquake and Tsunami Warning System (ETWS).

This Standard does not include general requirements for CE accessing Non-Terrestrial Networks (NTN). These requirements, including those for Emergency Call Services (ECS) and Emergency Cell Broadcast (ECB), are under development within the 3GPP and will be reviewed at a future point in time when they become stable. Radiofrequency (RF) requirements for NTN spectrum bands are specified in Part 4 of this Standard.

The objective of the revision of Parts 4 and 5 is to align the spectrum band requirements in the Standard with current CE capabilities and service offerings.

The principal differences between these editions of AS/CA S042.1, AS/CA S042.4 and AS/CA S042.5 and the previous editions are list in the Introduction of each Part.

Compliance arrangements

This Standard is intended to be enforceable by the ACMA under the ACMA Telecommunications (Labelling Notice for Customer Equipment and Customer Cabling) Instrument 2015 (TLN) or its replacement. Information on the ACMA TLN is available from the ACMA Find the right labelling notice at https://www.acma.gov.au/find-right-labelling-notice.

The 2025 versions of AS/CA S042.1, AS/CA S042.4 and AS/CA S042.5 will be mandated by the ACMA Telecommunications (Mobile Equipment Air Interface) Technical Standard 2022. A 12-month transition period for all Parts will apply, commencing on the day all three Parts are published, targeting Q3 2025.

FOREWORD

General

This Standard was prepared by Communications Alliance and most recently revised by the WC107: PMTS and Satellite Service Customer Equipment Standards Working Committee. It is one of a series of Telecommunication Standards developed under the Memorandum of Understanding between the Australian Communications Authority (ACA) and the Australian Communications Industry Forum (ACIF).

On 1 July 2005 the ACA became the Australian Communications and Media Authority (ACMA) and the Memorandum of Understanding continues in effect as if the reference to the ACA were a reference to ACMA.

Communications Alliance was formed in 2006 and continues the functions previously fulfilled by ACIF.

This Standard is a revision of AS/CA S042.4: 2018 Requirements for connection to an air interface of a Telecommunications Network—Part 4: IMT Customer Equipment.

This Standard is the result of a consensus among representatives on the Communications Alliance Working Committee to produce it as an Australian Standard.

The requirements in this Standard are consistent with the aims of s376 of the Telecommunications Act 1997. Specifically these aims are-

- protecting the integrity of a telecommunications network or facility;
- (b) protecting the health and safety of persons;
- ensuring access to an Emergency Call Service (ECS); and (c)
- ensuring interoperability with a standard telephone service (STS). (d)

It should be noted that some Customer Equipment (CE) may also need to comply with requirements in other Standards or other Parts of this Standard.

The Standard should be read in conjunction with AS/CA S042.1: General.

Applicable electrical safety Standards, EMC, Radiocommunications and EMR Standards may apply under Commonwealth or State/Territory laws, or both.

Intellectual property rights

Equipment which is manufactured to comply with this Standard may require the use of technology which is protected by patent rights in Australia. Questions about the availability of such technology, under licence or otherwise, should be directed to the patent holder or Australian licensee (if known) or through enquiry at IP Australia which incorporates the Patent, Designs and Trade Marks and Offices. Further information can be found at www.ipaustralia.gov.au.

Standards revision

Australian Standards (AS/ACIF and AS/CA Standards) developed by Communications Alliance, are updated according to the needs of the industry, by amendments or revision. Users of these Standards should make sure that they possess the latest amendments or editions. Representations concerning the need for a change to this AS/CA Standard should be addressed to—

The Project Manager Customer Equipment and Cable Reference Panel Communications Alliance PO Box 444 Milsons Point NSW 1565

Regulatory notice

The 2025 version of AS/CA S042.4 is mandated by the ACMA Telecommunications (Mobile Equipment Air Interface) Technical Standard 2022. A 12-month transition period for AS/CA S042.4:2022 applies commencing on the day AS/CA S042.4:2025 is published.

Details on current compliance arrangements can be obtained from the ACMA website at http://www.acma.gov.au or by contacting the ACMA below at:

Australian Communications and Media Authority PO Box 13112 Law Courts PO Melbourne VIC 8010 Australia

Telephone: +61 3 9963 6800 Facsimile: +61 3 9963 6899

TTY: +61 3 9963 6948

INTRODUCTION

This introduction for the AS/CA S042.4 Requirements for connection to an air interface of a Telecommunications Network—Part 4: IMT-2000 and IMT-Advanced Customer Equipment Standard is not an authoritative section of this Standard and is only provided as guidance for the user of the Standard to outline its objectives, and the factors that have been taken into account in its development and to list the principle differences between the new and the previous edition.

The reader is directed to the clauses of this Standard for the specific requirements and to the ACMA for the applicable telecommunications labelling and compliance arrangements.

Note: Further information on the telecommunications labelling and compliance arrangements can be found in the Telecommunications (Labelling Notice for Customer Equipment and Customer Cabling) Instrument 2015 (the TLN) can be obtained from the ACMA website at www.acma.gov.au.

The objective of Part 4 is to align the spectrum band requirements and associated test methods for IMT-2000 and IMT-Advanced CE in order to comply with the regulatory arrangements for such CE in Australia.

The objective of this revision is to bring the Standard spectrum band requirements up to date with current CE capabilities and service offerings.

The principal differences between this edition of AS/CA S042.4 and the previous edition are—

- (a) updates to the references, including the addition and removal of ETSI Standards in line with changes to spectrum band support;
- (b) the removal of requirements for UTRA and the referenced ETSI Standards (the former Clause 5.1). Due to this deletion the clause numbering in this Standard has changed;
- (c) the addition of E-UTRA Band 26 (850 MHz) (Clause 5.1.4.2);
- (d) the addition of E-UTRA NTN Bands 255 (2 GHz) and 256 (2 GHz) for NB IoT (Clause 5.1.6.2.3); and
- (e) a new informative appendix on the minimum band support to enable emergency calling on all networks, supporting amendments to the Telecommunications (Emergency Call Service) Determination 2019.

| TAB | TABLE OF CONTENTS | | | | |
|------|-------------------|--------------|---------------------------------------|----|--|
| 1 | INTE | 1 | | | |
| | 1.1 | Catego | 1 | | |
| | 1.2 | _ | iance statements | 1 | |
| | 1.3 | Definition | 1 | | |
| | 1.4 | Notes | 1 | | |
| | 1.5 | Referer | 1 | | |
| | 1.6 | Units ar | nd symbols | 2 | |
| | 1.7 | Parts of | [†] Standards | 2 | |
| 2 | SCC | OPE | | 3 | |
| 3 | REF | ERENCES | | 4 | |
| 4 | ABB | REVIATIO | ONS AND DEFINITIONS | 7 | |
| | 4.1 | Abbrev | viations . | 7 | |
| | 4.2 | Definition | ons | 7 | |
| | | 4.2.1 | Carrier Aggregation | 7 | |
| | | 4.2.2 | Cat M1 | 7 | |
| | | 4.2.3 | NB-IoT | 7 | |
| | | 4.2.4 | V2X | 8 | |
| 5 | REQ | REQUIREMENTS | | | |
| | 5.1 | E-UTRA | FDD and E-UTRA TDD | 9 | |
| | | 5.1.1 | Applicability | 9 | |
| | | 5.1.2 | IMEI security | 9 | |
| | | 5.1.3 | Core Protocol Specifications | 9 | |
| | | 5.1.4 | Single carrier | 9 | |
| | | 5.1.5 | Carrier Aggregation | 11 | |
| | | 5.1.6 | Cellular Internet of Things | 12 | |
| | | 5.1.7 | V2X | 14 | |
| | 5.2 | OFDMA | A TDD WMAN | 15 | |
| | | 5.2.1 | Applicability | 15 | |
| | | 5.2.2 | PKC security | 15 | |
| | | 5.2.3 | TDD Band Class 3 (2.5 GHz) | 15 | |
| , | | 5.2.4 | TDD Band Class 5 (3.5 GHz) | 16 | |
| 6 | TEST | ING | | 17 | |
| | 6.1 | Verifico | ation of compliance with requirements | 17 | |
| PAR1 | ICIPAN | TS | | 20 | |

| TABLES | | |
|--------|------------------------------------|----|
| IADLES | | |
| 1 | E-UTRA Bands under ETSI | 10 |
| 2 | E-UTRA Bands under FCC Rules | 10 |
| 3 | Bands used for Carrier Aggregation | 11 |
| 4 | Cat M1 E-UTRA Bands under ETSI | 12 |
| 5 | Cat M1 E-UTRA Band under FCC Rules | 12 |
| 6 | NB-IoT E-UTRA Bands under ETSI | 13 |
| 7 | NB-IoT E-UTRA Band under FCC Rules | 13 |
| 8 | NTN E-UTRA Bands under ETSI | 14 |
| 9 | V2X Bands | 15 |
| 10 | OFDMA TDD WMAN Band Class 3 | 15 |
| 11 | OFDMA TDD WMAN Band Class 5 | 16 |

1 INTERPRETATIVE GUIDELINES

1.1 Categories of requirements

This Standard contains mandatory requirements as well as provisions that are recommendatory only. Mandatory requirements are designated by the words 'shall' or 'shall not'. All other provisions are voluntary.

1.2 Compliance statements

Compliance statements, in italics, suggest methodologies for demonstrating CE's compliance with the requirements.

1.3 Definitions, expressions and terms

If there is any conflict between the definitions used in this Standard and the definitions used in the Telecommunications Act 1997, the definitions in the Act take precedence.

1.4 Notes

Text denoted as 'Note' is for guidance in interpretation and is shown in smaller size type.

1.5 References

- (a) Applicable editions (or versions) of other mandatory documents referred to in this Standard are specified in Section 3: REFERENCES. The bibliography contains information about other publications referred to in this Standard e.g. publications only referred to in notes and informative appendices.
- (b) If a document refers to another document, the other document is a sub-referenced document.
- (c) Where the edition (or version) of the sub-referenced document is uniquely identified in the reference document, then that edition (or version) applies.
- (d) Where the edition (or version) of the sub-referenced document is not uniquely identified in the reference document, then the applicable edition (or version) is that which is current at the date the reference document is legislated under the applicable regulatory framework, or for a non-legislated document, the date upon which the document is published by the relevant standards organisation.
- (e) A number in square brackets '[]' refers to a document listed in Section 3: REFERENCES.

1.6 Units and symbols

In this Standard the International System (SI) of units and symbols is used in accordance with Australian Standard AS ISO 1000 [1].

1.7 Parts of Standards

CE scoped by this Standard is to comply with the applicable technology-specific Part(s) of this Standard.

2 SCOPE

2.1 This Standard applies to IMT-2000 and IMT-Advanced CE. It defines the technical conditions and requirements for IMT CE that is designed or intended for use in connection with an IMT-2000 and IMT-Advanced public mobile telecommunications service (PMTS) and is an addressable device.

Note: In the context of this scope, CE intended for connection to a service includes CE capable of connection to a service.

- 2.2 This Standard applies to IMT CE based upon the following IMT-2000 and IMT-Advanced technologies:
 - (a) UTRA FDD.
 - (b) E-UTRA FDD and E-UTRA TDD.
 - (c) OFDMA TDD WMAN.
- 2.3 CE is not excluded from the scope of this Standard by reason only that it is capable of performing functions additional to those described in this Standard.

3 REFERENCES

For ETSI Standards where a Release is cited (e.g. Release 14, 15 or 18), the applicable minimum Standard is the latest version of that Standard in the same Release.

However, parties to agreements based on this Standard are encouraged to investigate the possibility of applying the most recent Releases and versions of the cited documents.

| | Publication | Title |
|-----|-------------------------------------|---|
| | Australian Standards | |
| [1] | AS ISO 1000 -1998 | The international System of Unit (SI) and its application. |
| | EC Publications | |
| [2] | Radio Equipment Directive (RED) | Directive 2014/53/EU of the European Parliament and of the Council of 16 April 2014 on the harmonisation of the laws of the Member States relating to the making available on the market of radio equipment and repealing Directive 1999/5/EC |
| | ETSI publications | |
| [3] | ETSLTS 122 016 V15.0.0 (2018-07) | Digital cellular telecommunications system (Phase 2+); Universal Mobile Telecommunications System (UMTS); LTE; International Mobile station Equipment Identities (IMEI) (3GPP TS 22.016) |
| [4] | ETSI TS 122 185 | LTE; Service Requirements for V2X services |
| [5] | ETSI TS 136 101 | LTE; Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio transmission and reception (3GPP TS 36.101) |
| [6] | ETSI TS 136 102 | LTE; Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio transmission and reception for satellite access (3GPP TS 36.102) |
| [7] | ETSI TS 136 300 | LTE; Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Overall description; Stage 2 |
| [8] | ETSI TS 136 321 | LTE Evolved Universal Terrestrial Radio Access (E-UTRA) Medium Access Control (MAC) Protocol Specification (3GPP TS 36.321) |

| | Publication | Title |
|------|-------------------|---|
| [9] | ETSI TS 136 322 | LTE Evolved Universal Terrestrial Radio Access (E-UTRA) Radio Link Control (RLC) Protocol Specification (3GPP TS 36.322) |
| [10] | ETSI TS 136 323 | LTE Evolved Universal Terrestrial Radio Access (E-UTRA) Packet Data Convergence Protocol (PDCP) Specification (3GPP TS 36.323) |
| [11] | ETSI TS 136 331 | LTE Evolved Universal Terrestrial Radio Access (E-UTRA) Radio Resource Control (RCC) Protocol Specification (3GPP TS 36.331) |
| [12] | ETSI TS 136 521-1 | LTE; Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) conformance specification; Radio transmission and reception; Part 1: Conformance testing (3GPP TS 36.521-1) |
| [13] | ETSI TS 136 521-4 | LTE; Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) conformance specification; Radio transmission and reception; Part 4: Satellite access Radio Frequency (RF) and performance Conformance Testing (3GPP TS 36.521-4) |
| [14] | ETSI TS 136 523-1 | LTE; Evolved Universal Terrestrial Radio Access (E-UTRA); and Evolved Packet Core (EPC); User Equipment (UE) conformance specification; Part1 Protocol conformance specification (3GPP TS 36.523-1) |
| [15] | ETSI TS 136 523-2 | LTE; Evolved Universal Terrestrial Radio Access (E-UTRA); and Evolved Packet Core (EPC); User Equipment (UE) conformance specification; Part2: Implementation Conformance Statement (ICS) proforma specification (3GPP TS 36.523-2) |
| [16] | ETSI TS 136 523-3 | LTE; Evolved Universal Terrestrial Radio Access (E-UTRA); and Evolved Packet Core (EPC); User Equipment (UE) conformance specification; Part3: Test Suites (3GPP TS 36.523-3) |
| [17] | ETSI EN 301 908-1 | IMT cellular networks; Harmonised Standard covering the essential requirements of article 3.2 of the Directive 2014/53/EU; Part 1: Introduction and common requirements |

| | Publication | Title |
|------|-----------------------|---|
| | | |
| [18] | ETSI EN 301 908-13 | IMT cellular networks; Harmonised Standard covering the essential requirements of article 3.2 of the Directive 2014/53/EU; Part 13: Evolved Universal Terrestrial Radio Access (E-UTRA) User Equipment (UE) |
| [19] | ETSI EN 301 908-19 | IMT cellular networks; Harmonised Standard covering the essential requirements of article 3.2 of the Directive 2014/53/EU; Part 19: OFDMA TDD WMAN (Mobile WIMAX TM) TDD User Equipment (UE) |
| | FCC Requirements | |
| [20] | FCC Part 22 Rules | Public Mobile Services (URL: http://www.access.gpo.gov/nara/cfr/waisid w 05/47cfr22 05.html) |
| | ITU-T Recommendations | 3 |
| [21] | X.509 (10/16) | Information technology - Open Systems Interconnection - The Directory: Public-key and attribute certificate frameworks |

4 ABBREVIATIONS AND DEFINITIONS

For the purposes of this Standard, the following abbreviations, acronyms and definitions and those of Part 1 apply.

4.1 Abbreviations

| Cat M1 | Category M1 |
|--------|--|
| EC | European Commission |
| EN | European Norm |
| FCC | Federal Communications Commission |
| ID | Identifier |
| IoT | Internet of Things |
| LAA | License Assisted Access |
| LPWA | Low-Power Wide-Area |
| NB | Notified Body |
| NB-IoT | NarrowBand Internet of Things |
| R&TTE | Radiocommunications & Telecommunications Terminal Equipment |
| RED | Radio Equipment Directive |
| TCB | Telecommunication Certified Body |
| TRP | Total Radiated Power |

Total Radiated Sensitivity

Vehicle-to-Everything

4.2 Definitions

4.2.1 Carrier Aggregation

TRS

V2X

Carrier Aggregation is the aggregation of two or more LTE component carriers in the downlink, uplink or both, in order to support wider transmission bandwidths. FDD and TDD LTE component carriers in both licensed and unlicensed spectrum can be part of any Carrier Aggregation combination.

4.2.2 Cat M1

LTE Cat M1 is a LPWA technology which supports IoT through lower device complexity which provides extended coverage and long battery life. LTE Cat M1 uses a 1.4 MHz bandwidth and has a peak downlink and uplink data rate of 1 Mbps. Refer to ETSI 136 300 [7]

4.2.3 NB-IoT

NB-IoT is a cellular LPWA technology that significantly improves the power consumption of devices, system capacity and spectrum efficiency, especially in deep coverage.

NB-loT uses a 200 kHz bandwidth and has a peak downlink and uplink data rate of 250 kbps. Refer to ETSI TS 136 300 [7]

4.2.4 V2X

Vehicle-to-everything describes a set of technologies that allow vehicles to communicate with each other and other smart transport solutions via existing cellular networks. Refer to ETSI 122 185 [4]

5 REQUIREMENTS

5.1 E-UTRA FDD and E-UTRA TDD

5.1.1 Applicability

The requirements in Clause 5.1 are applicable to CE based upon E-UTRA FDD and E-UTRA TDD technologies.

5.1.2 IMEI security

CE shall comply with IMEI security requirements of ETSI TS 122 016 [3].

Note: This requirement has been reproduced from Part 1 to avoid a potential compliance gap during the transition period of the applicable Standards. It will be removed from Part 4 during the next revision of this Part.

Compliance with Clause 5.1.2 should be by way of a manufacturer's DoC.

5.1.3 Core Protocol Specifications

CE **shall** comply with the applicable mandatory requirement of the following ETSI Specifications:

- (a) ETSI TS 136 321 [8]
- (b) ETSI TS 136 322 [9]
- (c) ETSLTS 136 323 [10]
- (d) ETSLTS 136 331 [11]

Note: The applicable mandatory requirements mean the relevant mandatory requirements in the ETSI specifications which have been implemented in the CE and commercially deployed by the manufacturer.

Compliance with Clause 5.1.3 should be demonstrated by way of a manufacturer's DoC for the applicable mandatory requirements.

Note: Formal conformance test cases covering mandatory requirements are defined in ETSI TS 136 523-1 [14], ETSI TS 136 523-2 [15] and ETSI TS 136 523-3 [16].

5.1.4 Single carrier

5.1.4.1 RED E-UTRA bands

CE used in the bands listed in Table 1 **shall** comply with the requirements of ETSI EN 301 908-1 [17] and ETSI EN 301 908-13 [18] for RF compatibility, network integrity and interoperability with the STS excluding those requirements relating to antenna performance.

Compliance with Clause 5.1.4.1 should be demonstrated by way of a—

- (a) test report, excluding Receiver Total Radiated Sensitivity (TRS) and Total Radiated Power (TRP) test cases);
- (b) EU-Type Examination by a Notified Body (NB), based on conformity assessment procedures described in the RED, Annex III [2]; or
- (c) manufacturer's DoC, based on conformity assessment procedures described in the RED, Annex III [2].

Note: Radiated antenna performance requirements are outside the scope of this Standard.

TABLE 1 E-UTRA Bands under ETSI

| Band No. | Band frequency |
|-------------|----------------|
| FDD Band 1 | 2.1 GHz |
| FDD Band 3 | 1.8 GHz |
| FDD Band 7 | 2.6 GHz |
| FDD Band 8 | 900 MHz |
| FDD Band 28 | 700 MHz |
| TDD Band 38 | 2.6 GHz |
| TDD Band 40 | 2.3 GHz |
| TDD Band 42 | 3.5 GHz |

5.1.4.2 FCC E-UTRA Bands

CE used in in the bands listed in Table 2 **shall** comply with the requirements of FCC Part 22 Rules [20] for RF compatibility, network integrity and interoperability with the STS.

TABLE 2 E-UTRA Bands under FCC Rules

| Band No. | Band frequency |
|-------------|----------------|
| FDD Band 5 | 850 MHz |
| FDD Band 26 | 850 MHz |

Compliance with Clause 5.1.4.2 should be demonstrated by way of a—

- (a) test report;
- (b) FCC/TCB Grant of Equipment Authorization, based on FCC ID to FCC requirements; or
- (c) manufacturer's DoC.

5.1.5 Carrier Aggregation

5.1.5.1 RED Carrier Aggregation combinations

CE used in any combination of bands listed in Table 3 for Carrier Aggregation **shall** comply with the requirements of ETSI EN 301 908-1 [17] and ETSI EN 301 908-13 [18] for RF compatibility, network integrity and interoperability with the STS.

TABLE 3 Bands used for Carrier Aggregation

| Band No. | Band frequency |
|-------------|----------------|
| FDD Band 1 | 2.1 GHz |
| FDD Band 3 | 1.8 GHz |
| FDD Band 5 | 850 MHz |
| FDD Band 7 | 2.6 GHz |
| FDD Band 8 | 900 MHz |
| FDD Band 26 | 850 MHz |
| FDD Band 28 | 700 MHz |
| TDD Band 38 | 2.6 GHz |
| TDD Band 40 | 2.3 GHz |
| TDD Band 42 | 3.5 GHz |
| TDD Band 46 | 5 GHz |

Compliance with Clause 5.1.5.1 should be demonstrated by way of a—

- (a) test report;
- (b) EU-Type Examination by a Notified Body (NB), based on conformity assessment procedures described in the RED, Annex III [2]; or
- (c) manufacturer's DoC, based on conformity assessment procedures described in the RED, Annex III [2].
- Note 1: Conformance test cases covering mandatory transmitter and receiver requirements for Carrier Aggregation combinations are defined in ETSI TS 136 521-1 [12].
- Note 2: Compliance options (a) and (b) may contain E-UTRA bands listed in Table 1 only. Subject to the CE Carrier Aggregation configurations, further evidence (DoC) may be required.

5.1.5.2 Other Carrier Aggregation combinations

For Carrier Aggregation combinations that are not defined in ETSI EN 301 908-13 [18], CE used in any combination of bands listed in Table 3 for Carrier Aggregation **shall** comply with the mandatory transmitter and receiver requirements for Carrier Aggregation of Clauses 6 and 7 of ETSI 136 101 [4] for RF compatibility, network integrity and interoperability with the STS.

Compliance with Clause 5.1.5.2 should be demonstrated by way of a manufacturer's DoC against the mandatory transmitter and receiver requirements for Carrier Aggregation of ETSI TS 136 101 [4] which are designated by the words 'shall' or 'shall not'.

5.1.6 Cellular Internet of Things

5.1.6.1 Cat M1

5.1.6.1.1 RED E-UTRA Bands

CE used in the bands listed in Table 4 for Cat M1 **shall** comply with the requirements of ETSI EN 301 908-1 [17] and ETSI EN 301 908-13 [18] for RF compatibility, network integrity and interoperability with the STS.

TABLE 4

Cat M1 E-UTRA Bands under ETSI

| Band No. | Band frequency |
|-------------|----------------|
| FDD Band 1 | 2.1 GHz |
| FDD Band 3 | 1.8 GHz |
| FDD Band 7 | 2.6 GHz |
| FDD Band 8 | 900 MHz |
| FDD Band 28 | 700 MHz |
| TDD Band 40 | 2.3 GHz |

Compliance with Clause 5.1.6.1.1 should be demonstrated by way of a—

- (a) test report;
- (b) EU-Type Examination by a Notified Body (NB), based on conformity assessment procedures described in the RED, Annex III [2]; or
- (c) manufacturer's DoC based on conformity assessment procedures described in the RED, Annex III [2].

5.1.6.1.2 FCC E-UTRA Band 5

CE used in in the band listed in Table 5 for Cat M1 **shall** comply with the requirements of FCC Part 22 Rules [18] for RF compatibility, network integrity and interoperability with the STS.

TABLE 5 Cat M1 E-UTRA Band under FCC Rules

| Band No. | Band frequency |
|------------|----------------|
| FDD Band 5 | 850 MHz |

Compliance with Clause 5.1.6.1.2 should be demonstrated by way of a—

- (a) test report;
- (b) FCC/TCB Grant of Equipment Authorization, based on FCC ID to FCC requirements; or
- (c) manufacturer's DoC.

5.1.6.2 NarrowBand IoT

5.1.6.2.1 RED E-UTRA Bands

CE used in the bands listed in Table 6 for NB IoT **shall** comply with the requirements of ETSI EN 301 908-1 [17] and ETSI EN 301 908-13 [18] for RF compatibility and network integrity.

TABLE 6 NB-IoT E-UTRA Bands under ETSI

| Band No. | Band frequency |
|-------------|----------------|
| FDD Band 1 | 2.1 GHz |
| FDD Band 3 | 1.8 GHz |
| FDD Band 8 | 900 MHz |
| FDD Band 28 | 700 MHz |

Compliance with Clause 5.1.6.2.1 should be demonstrated by way of a—

- (a) test report;
- (b) EU-Type Examination by a Notified Body (NB), based on conformity assessment procedures described in the RED, Annex III [2]; or
- (c) manufacturer's DoC based on conformity assessment procedures described in the RED, Annex III [2].

5.1.6.2.2 FCC E-UTRA Band 5

CE used in in the band listed in Table 7 for NB IoT **shall** comply with the requirements of FCC Part 22 Rules [18] for RF compatibility, network integrity and interoperability with the STS.

TABLE 7 NB-IoT E-UTRA Band under FCC Rules

| Band No. | Band frequency | |
|------------|----------------|--|
| FDD Band 5 | 850 MHz | |

Compliance with Clause 5.1.6.2.2 should be demonstrated by way of a—

- (a) test report;
- (b) FCC/TCB Grant of Equipment Authorization, based on FCC ID to FCC requirements; or
- (c) manufacturer's DoC.

5.1.6.2.3 NTN F-UTRA Bands

CE used in the bands listed in Table 8 for NB IoT **shall** comply with the mandatory transmitter and receiver requirements for NTN of Clauses 6 and 7 of ETSI TS 136 102 [6] for RF compatibility and network integrity.

TABLE 8 NTN E-UTRA Bands under ETSI

| Band No. | Band frequency |
|--------------|----------------|
| FDD Band 255 | 2 GHz |
| FDD Band 256 | 2 GHz |

Compliance with Clause 5.1.6.2.3 should be demonstrated by way of a—

- (a) test report; or
- (b) manufacturer's DoC against the mandatory transmitter and receiver requirements for NTN of ETSI TS 136 102 [6] which are designated by the words 'shall' or 'shall not'.

Note 1: Formal conformance test cases covering mandatory transmitter and receiver requirements for NTN are defined in ETSI TS 136 521-4 [13].

5.1.7 V2X

CE used in the bands listed in Table 9 for V2X **shall** comply with the mandatory transmitter and receiver requirements for V2X of Clauses 6 and 7 of ETSI 136 101 [4] for RF compatibility and network integrity.

Compliance with Clause 5.1.7 should be demonstrated by way of a—

- (a) test report; or
- (b) manufacturer's DoC against the mandatory transmitter and receiver requirements for V2X of ETSLTS 136 101 [4] which are designated by the words 'shall' or 'shall not'.
- Note 1: Formal conformance test cases covering mandatory transmitter and receiver requirements for V2X are defined in ETSLTS 136 521-1 [12].

TABLE 9 V2X Bands

| Band No. | Band frequency | |
|-------------|----------------|--|
| FDD Band 3 | 1.8 GHz | |
| FDD Band 7 | 2.6 GHz | |
| FDD Band 8 | 900 MHz | |
| TDD Band 47 | 5.9 GHz | |

5.2 OFDMA TDD WMAN

5.2.1 Applicability

The requirements in Clause 5.2 are applicable to CE based upon OFDMA TDD WMAN technologies.

5.2.2 PKC security

CE **shall** comply with PKC security requirements of ITU-T Recommendation X.509 [21].

Note: This requirement has been reproduced from Part 1 to avoid a potential compliance gap during the transition period of the applicable Standards. It will be removed from Part 4 during the next

revision of this Part.

Compliance with Clause 5.2.2 should be by way of a manufacturer's DoC.

5.2.3 TDD Band Class 3 (2.5 GHz)

CE used in the band listed in Table 10 **shall** comply with the requirements of ETSI EN 301 908-19 [19] for RF compatibility, network integrity and interoperability with the STS.

TABLE 10 OFDMA TDD WMAN Band Class 3

| Band No. | Band frequency |
|------------------|----------------|
| TDD Band Class 3 | 2.5 GHz |

Compliance with Clause 5.2.3 should be demonstrated by way of a test report.

5.2.4 TDD Band Class 5 (3.5 GHz)

CE used the band listed in Table 11 **shall** comply with the requirements of ETSI EN 301 908-19 [19] for RF compatibility, network integrity and interoperability with the STS.

TABLE 11 OFDMA TDD WMAN Band Class 5

| Band No. | Band frequency |
|------------------|----------------|
| TDD Band Class 5 | 3.5 GHz |

Compliance with Clause 5.2.4 should be demonstrated by way of a test report.

6 TESTING

6.1 Verification of compliance with requirements

Compliance with all mandatory requirements in this AS/CA Standard is to be verified. This may be done by direct measurement, modelling and analysis, operation or inspection.

Methods for demonstrating compliance of CE with the requirements clauses specified in this AS/CA Standard are described in the requirements clauses and in the referenced Standards.

Verification of compliance with the referenced standards may be confirmed by test reports to later versions of the referenced standards provided that all clauses of the referenced standards are shown to be met.

Alternative methods of demonstrating compliance to those described may be used if the risk of passing non-compliant CE is not increased because of increased measurement uncertainty.

APPENDIX

A Minimum band support required for CE intended to be used with all mobile carriers (INFORMATIVE)

CE intended for use with any Mobile Carrier Network and based upon E-UTRA FDD and E-UTRA TDD technologies—

- (a) may support FDD Band 1, 3, 5, 8, and 28; and
- (b) may support the other bands in Tables 1 and 2.

Note: At the time of publishing 'Any Mobile Network', refers to Optus, Telstra and TPG Telecom.

NOTES

PARTICIPANTS

The Working Committee responsible for the revisions made to this Standard consisted of the following organisations:

| Organisation | Membership |
|------------------------------|------------|
| ACMA | Non-Voting |
| Apple | Voting |
| Certification Body Australia | Voting |
| Cisco Systems | Voting |
| Comtest Laboratories | Voting |
| EchoStar Global | Voting |
| Google | Voting |
| Motorola Mobility Australia | Voting |
| Omnispace Australia | Voting |
| nbn | Voting |
| Optus | Voting |
| Samsung | Voting |
| Telstra | Voting |
| TPG Telecom | Voting |

This Working Committee was chaired by Steve Vodicka of Telstra. Mike Johns of Communications Alliance provided project management support.

HMD Global resigned from the Working Committee during the course of the project.

Communications Alliance was formed in 2006 to provide a unified voice for the Australian communications industry and to lead it into the next generation of converging networks, technologies and services.

In pursuing its goals, Communications Alliance offers a forum for the industry to make coherent and constructive contributions to policy development and debate.

Communications Alliance seeks to facilitate open, effective and ethical competition between service providers while ensuring efficient, safe operation of networks, the provision of innovative services and the enhancement of consumer outcomes.

It is committed to the achievement of the policy objective of the *Telecommunications Act 1997* - the greatest practicable use of industry self-regulation without imposing undue financial and administrative burdens on industry.



Published by: COMMUNICATIONS ALLIANCE LTD

Level 25 100 Mount Street North Sydney NSW 2060 Australia

Correspondence PO Box 444 Milsons Point NSW 1565

T 61 2 9959 9111 E info@commsalliance.com.au www.commsalliance.com.au ABN 56 078 026 507